



MEMORANDUM – 2025-005

TO : ALL TRADING PARTICIPANTS
SUBJECT : EMPLOYEE MOVEMENT
DATE : 07 FEBRUARY 2025

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 January 2025.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
24-Jan-25	MORA	RICHELLE F.	SALESMAN	ALTERNATE ASSOCIATED PERSON	LANDBANK SECURITIES, INC.	RE-DESIGNATION
22-Jan-25	DREU	PAULA MAE S.	-	CUSTOMER SUPPORT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
21-Jan-25	CHOI	MARTIN MAN H.	-	SALESMAN	FIDELITY SECURITIES, INC.	NEW EMPLOYMENT
20-Jan-25	PANUELOS	BERNARDO D.	-	PRESIDENT & CEO	WESTLINK GLOBAL EQUITIES, INC.	NEW EMPLOYMENT
20-Jan-25	PEÑA	EIRA DOMINIQUE V.	-	CERTIFIED MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
20-Jan-25	REYES	ARIANNE DANAH A.	-	JUNIOR FINANCIAL ASSOCIATE	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
17-Jan-25	GAITE	JOSE FERNANDO VICTOR M.	PRESIDENT & CEO	-	WESTLINK GLOBAL EQUITIES, INC.	RESIGNATION (cleared)
17-Jan-25	FLORES	RAUL D.	SALESMAN	-	MERIDIAN SECURITIES, INC.	RESIGNATION (cleared)
17-Jan-25	PANUELOS	BERNARDO D.	AUTHORIZED SALESMAN	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
17-Jan-25	TORRES	HANZ ELMER D.	RESEARCH ANALYST	-	MANDARIN SECURITIES CORPORATION	RESIGNATION
17-Jan-25	LACUNA	ROCHELLE M.	CERTIFIED EQUITY SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
16-Jan-25	JOVELLANO	CHRISTELLE JOY R.	-	BUSINESS SYSTEMS ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jan-25	CRUZ	SHERRYL R.	-	TEAM HEAD - TRANSACTION PROCESSING AND SECURITIES SETTLEMENT - NON LOCAL EQUITIES OPERATIONS	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jan-25	JOSE	ERLINDA D.	STOCK CUSTODIAN	-	JAKA SECURITIES CORPORATION	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
16-Jan-25	LIM	RODNEY JAMES T.	CERTIFIED SECURITIES REPRESENTATIVE	-	ABACUS SECURITIES CORPORATION	DECEASED
16-Jan-25	CABOCHENG	GWYNETH EMILIE G.	-	INSTITUTIONAL BUSINESS GROUP ASSOCIATE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Jan-25	MENDOZA	ALEJANDRO JOAQUIN C.	-	INSTITUTIONAL BUSINESS GROUP ASSOCIATE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
15-Jan-25	DELINA	MARINIZA S.	-	ACCOUNTING STAFF	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
13-Jan-25	OLIVEROS	STEPHEN GABRIEL Y.	RESEARCH ASSOCIATE	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (clearance under process)
13-Jan-25	SIBAYAN	ALEX S.	-	HR AND ADMIN MANAGER	YU & COMPANY, INC.	NEW EMPLOYMENT
12-Jan-25	CUIZON	MEDELYN E.	ACCOUNT SERVICES OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION
10-Jan-25	CAMAGAY	MAVIS M.	NOMINEE/ HEAD TRADER	NOMINEE/ HEAD TRADER/ OFFICER-IN-CHARGE	LANDBANK SECURITIES, INC.	RE-DESIGNATION
10-Jan-25	CLAVERIA	FLORENCE ROSALIND R.	OFFICER-IN-CHARGE	-	LANDBANK SECURITIES, INC.	RESIGNATION (did not apply for clearance)
10-Jan-25	KWOK	NIKOLAS TRISTAN	-	EQUITY RESEARCH INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
10-Jan-25	YAM	CECILIA NOELLE	-	EQUITY RESEARCH INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
10-Jan-25	PARLADE	RYAN VINCENT L.	EQUITY TRADER	-	THE FIRST RESOURCES MANAGEMENT & SECURITIES	RESIGNATION (no clearance required)
10-Jan-25	DE LA CRUZ	JOHN ALFRED O.	ACCOUNTING ASSISTANT	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (clearance under process)
8-Jan-25	PAZ	JUSTINE MAE R.	-	ADMINISTRATIVE ASSOCIATE / RECEPTIONIST	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
8-Jan-25	AUGUIS	SHEILA MAE B.	-	HR ADMIN AND FACILITIES CLERK – CONTRACTUAL	MAYBANK SECURITIES, INC.	NEW EMPLOYMENT

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7-Jan-25	GO	KATHERINE TRACY S.	-	AVP	JSG SECURITIES, INC.	NEW EMPLOYMENT
6-Jan-25	DAMIAGO	MIAN CRIS AMPARO P.	-	CUSTOMER SUPPORT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
6-Jan-25	SOLIVIO	KIM A.	-	CO-LEAD BACKEND ENGINEER	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
6-Jan-25	SANTIAGO	KATRINA MONIQUE S.	-	ADVISORY SALES ASSOCIATE	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
6-Jan-25	DY	RAFAEL ELISEO G.	-	RESEARCH INTERN	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
6-Jan-25	INDAY	REY ADRIAN R.	-	JUNIOR SOFTWARE QA ENGINEER	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
6-Jan-25	ESPIRITU	ELIN AMALTHEA P.	-	UI/UX DESIGNER	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
6-Jan-25	MANINGAT	EIRON S.	-	JUNIOR SOFTWARE QA ENGINEER	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
6-Jan-25	TORRANO	YSABELLE ANDRE G.	-	INTERN	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
6-Jan-25	RANA	CZAR AURELEE R.	-	RESEARCH ANALYST	MANDARIN SECURITIES CORPORATION	NEW EMPLOYMENT
3-Jan-25	ARCEO	GIANINNA BIANCA A.	JUNIOR DEALER	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
2-Jan-25	TAMAYO	AGUSTINA L.	ACCOUNTING ASSISTANT	-	SUNSECURITIES, INC.	RESIGNATION (cleared)
2-Jan-25	KALAW IV	TEODORO ALEJANDRO Y.	ASSOCIATED PERSON	TREASURER	FIRST ORIENT SECURITIES, INC.	RE-DESIGNATION
2-Jan-25	AGUSTIN	JOHN CHESTER V.	SECURITIES PROCESSOR	SETTLEMENT HEAD	WESTLINK GLOBAL EQUITIES, INC.	RE-DESIGNATION
2-Jan-25	GABIONZA	GARRICK G.	-	INDEPENDENT DIRECTOR	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	NEW EMPLOYMENT

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2-Jan-25	CONUI	MELISSA SUE S.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
2-Jan-25	PANUELOS	BERNARDO D.	-	AUTHORIZED SALESMAN	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
2-Jan-25	VILLANUEVA	ROCHELE JINNA A.	HR & ADMIN OFFICER	HR & ADMIN MANAGER	THE FIRST RESOURCES MANAGEMENT & SECURITIES	RE-DESIGNATION
2-Jan-25	BERNABE	ROMEO	-	LEAD BACKEND ENGINEER	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
2-Jan-25	CAJAROP	HAZEL MAE M.	-	MULTIMEDIA DESIGNER	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jan-25	PEREGRINO	MARIA DOLORES D.	SALES & RESEARCH COORDINATOR	CORPORATE ACCESS MANAGER	CLSA PHILIPPINES, INC.	RE-DESIGNATION
1-Jan-25	MAGDOLOT	MARICE N.	RESEARCH ASSISTANT	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
1-Jan-25	MACAPAGAL	VICTOR P.	MANAGER, IT HEAD	IT MANAGER	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Jan-25	APOLONIO	FIDEL JR. H.	MANAGER, IT MANAGER	IT HEAD	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Jan-25	SIMPAO	MARVIN ROY S.	COMPLIANCE HEAD, DATA PRIVACY OFFICER AND ASSOCIATED PERSON	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
1-Jan-25	AVILA	JUDITH ANNE	KYC ASSOCIATE	KYC SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-25	CABACES	MARIA FATIMA	COMPLIANCE ASSOCIATE	COMPLIANCE SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-25	JACINTO	EDWARD JOHN	HEAD OF DEALING (MANAGER)	HEAD OF DEALING (SENIOR MANAGER)	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-25	VIGO	JAN HENRI	CLIENT RELATIONS SPECIALIST	CLIENT RELATIONS OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
1-Jan-25	ADVINCULA	ELLEINA	ACCOUNT OPENING OFFICER (ASSISTANT MANAGER)	ACCOUNT OPENING OFFICER (MANAGER)	BPI SECURITIES CORPORATION	RE-DESIGNATION

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1-Jan-25	CARIÑO	NADINE GUINEVERE J.	DIRECTOR - HEAD OF CORPORATE ACCESS	DIRECTOR - HEAD OF SALES	REGIS PARTNERS, INC.	RE-DESIGNATION
1-Jan-25	SABILE	HAZELL H.	GENERAL SERVICES ASSOCIATE/ RECEPTIONIST	DIGITAL CHANNELS AND MARKETING ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
1-Jan-25	FELICIANO	SUNDEE V.	AM - INTERNAL AUDITOR	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
1-Jan-25	NATIVIDAD	JOSH DANIEL L.	FO - EQUITY RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
1-Jan-25	CABACUNGAN	INZAGHI RAFAEL D.	JAM - RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
1-Jan-25	VELASCO	MA. JIDGETTE V.	FVP - HEAD OF RESEARCH	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
1-Jan-25	MAÑAGO	GIULIO RINALDO N.	FO - DIGITAL INNOVATIONS & ON-LINE RETAIL MARKETING	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
1-Jan-25	TEE	NEIL ANDREW G.	CSR EQUITIES TRADER	SENIOR CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
1-Jan-25	TINIMBANG	AYRA KATRINA S.	SAM- RETAIL SALES AND ONLINE MARKETING OFFICER	SAM- RETAIL SALES MARKETING OFFICE	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jan-25	LUMAWIG	DANICA R.	-	EXECUTIVE MANAGER	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
1-Jan-25	KO	BRYAN NATHANIEL V.	-	INVESTMENT MANAGER	YU & COMPANY, INC.	NEW EMPLOYMENT
1-Jan-25	ORTEGA	NORIEL S.	-	SENIOR INVESTMENT ANALYST	YU & COMPANY, INC.	NEW EMPLOYMENT
1-Jan-25	BAUTISTA	MARILEN D.	FVP - OPERATIONS HEAD/ CFO	FVP - OPERATIONS HEAD/ CFO/ BIO	RCBC SECURITIES, INC.	RE-DESIGNATION
31-Dec-24	LO	CARMELITA L.	AUTHORIZED SALESMAN	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-24	RODEL	EMELITA S.	OPERATIONS HEAD	-	PNB SECURITIES, INC.	RESIGNATION

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31-Dec-24	MENDOZA	MARIE KEITH B.	ACCOUNTING CLERK	-	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	RESIGNATION (cleared)
28-Dec-24	PIMENTEL	IAN THE KIRSTEN P.	GRAPHIC DESIGNER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
27-Dec-24	TABING	MA. MYLA R.	SETTLEMENT ASSISTANT	-	SARANGANI SECURITIES, INC.	RESIGNATION
27-Dec-24	RODRIGUEZ	JUAN CARLOS	EQUITY RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (end of contract)
23-Dec-24	DE VERA	ANAROSE R.	ACCOUNTING ASSISTANT	-	AP SECURITIES, INC.	RESIGNATION (cleared)
23-Dec-24	GONZAGA	ANDREO M.	ACCOUNT MAINTENANCE OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
19-Dec-24	CRUZ	CATHERINE P.	OPERATIONS OFFICER, COG-TOD, CBC	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (cleared)
19-Dec-24	POLLOSO	ROMMEL R.	-	OPERATIONS OFFICER, COG-TOD, CBC	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
19-Dec-24	COMBOY	CRISOLOGO M.	-	OPERATIONS OFFICER, COG-TOD, CBC	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-24	CUSTODIO	ROSEMARIE T.	-	SETTLEMENT OFFICER	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	NEW EMPLOYMENT
16-Dec-24	SEVILLA	JAMELLIE M.	-	TREASURER	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
16-Dec-24	NOREGA	ERMEN G.	-	TRADING ASSISTANT	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
13-Dec-24	GARCIA	JESSA MAE	ACCOUNTING ASSOCIATE	-	DRAGONFI SECURITIES, INC.	RESIGNATION
11-Dec-24	DAZO	RICA U.	FACILITY COORDINATOR - CONTRACTUAL	-	MAYBANK SECURITIES, INC.	RESIGNATION (cleared)
10-Dec-24	GORORDO	MARIA KATRINA S.	SALESMAN	SALES TRADER	MAYBANK SECURITIES, INC.	RE-DESIGNATION

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6-Dec-24	TAN	CHASE FREDRICK A.	CERTIFIED SECURITIES REPRESENTATIVE	-	ABACUS SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)
6-Dec-24	TAN	MARINA C.	HEAD-RETAIL SALES	-	ABACUS SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)
4-Dec-24	ESPIRITU	CHARISSE N.	SETTLEMENTS OFFICER	SENIOR SETTLEMENTS OFFICER	CLSA PHILIPPINES, INC.	RE-DESIGNATION
3-Dec-24	LIMOSNERO	JESSA MAE B.	TREASURY OFFICER	FINANCE OFFICER	MAYBANK SECURITIES, INC.	RE-DESIGNATION
3-Dec-24	GONZAGA	REYMART D.	ACCOUNTING ASSISTANT	FINANCE OFFICER	MAYBANK SECURITIES, INC.	RE-DESIGNATION
3-Dec-24	ADRILLANO	JAYSON	MESSENGER	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (cleared)
3-Dec-24	RIVERA	FERDINAND JR.	-	MESSENGER	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
2-Dec-24	SALVATIERRA	RENINA MARIE G.	-	TRAINEE	RTG & CO., INC.	NEW EMPLOYMENT
30-Nov-24	PUOD	MA. CARMELA T.	ACCOUNTING ASSOCIATE	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
30-Nov-24	PULUMBARIT	ZANE ANDREW A.	SENIOR FUNDS ANALYST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
27-Nov-24	LACAP	THALIA LUISA C.	SETTLEMENTS ANALYST	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
23-Nov-24	PIOQUINTO	REZELL MAE P.	HR STAFF	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
28-Sep-24	PUSPUS	JHAN LOUISE S.	CUSTOMER SERVICE ASSOCIATE	-	ABACUS SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)